

Docket No.: P2001,0122

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : STEFFEN HORNIG ET AL.  
Filed : CONCURRENTLY HEREWITH  
Title : ANTI-REFLECTIVE COATING (ARC) MATERIAL,  
SEMICONDUCTOR PRODUCT WITH AN ARC LAYER AND  
METHOD OF COATING A SEMICONDUCTOR PRODUCT WITH  
AN ARC LAYER

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.98 copies of the following patents and/or publications are submitted herewith:

U.S. Patent No. 6,064,524 (Oka et al.), dated May 16, 2000;

U.S. Patent No. 5,744,293 (Okumura et al.), dated April 28, 1998;

U.S. Patent No. 5,215,839 (Yu), dated June 1, 1993;

Patent Abstracts of Japan 2000-066381, dated August 20, 1998;

European Patent Application EP 0 744 662 A2 (Kusumoto et al.), dated November 27, 1996;

European Patent Application EP 0 770 579 A1 (Yamada et al.), dated May 2, 1997;

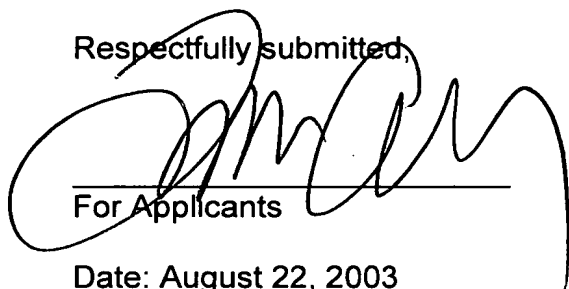
Schwerzel, R. E.: "'Capped' Colloidal Quantum-Dot Semiconductors: New Opportunities for the Design of Materials with Tailored Optical and Electronic

Properties", Georgia Tech Research Institute of Technology, Naval Research  
Reviews, April 1994, pp. 24-29;

International Search Report, dated August 16, 2002.

If no translation of pertinent portions of any foreign language patents or publications  
mentioned above is included with the aforementioned copies of those applications,  
patents and/or publications, it is because no existing translation is readily available to  
the applicant.

Respectfully submitted,



For Applicants

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Date: August 22, 2003

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/nt/kf

<b>FORM PTO-1449 (SUBSTITUTE)</b>  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  <div style="text-align: center;"> <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>          (37 CFR 1.98(b))       </div>				Attorney Docket No.: P2001,0122 Appl. No.:  <hr/> Applicant: STEFFEN HORNIG ET AL.  <hr/> Filing Date: August 22, 2003 Group Art Unit:			
EXAMINER INITIALS		PATENT NO.	DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE
	A	6,064,524	5/16/00	Oka et al.			
	B	5,744,293	4/28/98	Okumura et al.			
	C	5,215,839	6/1/93	Yu			
	D						
	E						
	F						
	G						
	H						
	I						
<b>FOREIGN PATENT DOCUMENT</b>							
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL. YES   NO
	J	2000-066381	8/20/98	Japan			
	K	0 744 662 A2	11/27/96	Europe			
	L	0 770 579 A1	5/2/97	Europe			
	M						
	N						
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
		Schwerzel, R. E.: "'Capped' Colloidal Quantum-Dot Semiconductors: New Opportunities for the Design of Materials with Tailored Optical and Electronic Properties", Georgia Tech Research Institute of Technology, Naval Research Reviews, April 1994, pp. 24-29					
<b>EXAMINER</b>		<b>DATE CONSIDERED</b>					